

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U67120MH1996GOI097783

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACG2218H

(ii) (a) Name of the company

CANARA BANK SECURITIES LIM

(b) Registered office address

7th Floor, Maker Chambers III, Nariman Point  
Mumbai  
Mumbai City  
Maharashtra  
400021

(c) \*e-mail ID of the company

cbsl@canmoney.in

(d) \*Telephone number with STD code

02243603800

(e) Website

www.canmoney.in

(iii) Date of Incorporation

01/03/1996

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Union Government Company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(b) CIN of the Registrar and Transfer Agent

U85110KA1994PLC016174

Pre-fill

Name of the Registrar and Transfer Agent

CANBANK COMPUTER SERVICES LIMITED

Registered office address of the Registrar and Transfer Agents

# 218, J.P. ROYALE, 1ST FLOOR, 14TH CROSS,  
2ND MAIN, SAMPIGE ROAD, MALLESWARAM,

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	CANARA BANK		Holding	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	100,000,000	16,000,000	16,000,000	16,000,000
Total amount of equity shares (in Rupees)	1,000,000,000	160,000,000	160,000,000	160,000,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	100,000,000	16,000,000	16,000,000	16,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	1,000,000,000	160,000,000	160,000,000	160,000,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0	0		

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	0	40,000,000	40000000	400,000,000	400,000,000	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	
iii. Bonus issue	0	0	0	0	0	
iv. Private Placement/ Preferential allotment	0	0	0	0	0	

v. ESOPs	0	0	0	0	0	
vi. Sweat equity shares allotted	0	0	0	0	0	
vii. Conversion of Preference share	0	0	0	0	0	
viii. Conversion of Debentures	0	0	0	0	0	
ix. GDRs/ADRs	0	0	0	0	0	
x. Others, specify						
<b>Decrease during the year</b>	0	24,000,000	24000000	240,000,000	240,000,000	
i. Buy-back of shares	0	0	0	0	0	
ii. Shares forfeited	0	0	0	0	0	
iii. Reduction of share capital	0	24,000,000	24000000	240,000,000	240,000,000	
iv. Others, specify						
<b>At the end of the year</b>	0	16,000,000	16000000	160,000,000	160,000,000	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	
iv. Others, specify				0	0	
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)		23/02/2022	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	40	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		NA (TRANSFER IN DEMAT MODE)	
Transferor's Name	RAO	JANARDHANA	POLIPALLI VENKATESWARALU
	Surname	middle name	first name
Ledger Folio of Transferee		NA (TRANSFER IN DEMAT MODE)	

Transferee's Name	PAI	MURALIDHAR	MAHESH
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)

Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	40	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor

Transferor's Name	SAMAL		BISMAY
	Surname	middle name	first name

Ledger Folio of Transferee

Transferee's Name	SINGH	PAL	RAMINDER
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)

Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
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Ledger Folio of Transferor

Transferor's Name			
	Surname	middle name	first name

Ledger Folio of Transferee

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>	<input type="text"/>		<input type="text"/>		<input type="text"/>

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

1,526,810,565

**(ii) Net worth of the Company**

680,184,237

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	240	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	15,999,760	100	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others NIL	0	0	0	
	<b>Total</b>	16,000,000	100	0	0

**Total number of shareholders (promoters)**

7

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				



	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others NIL	0	0	0	
	<b>Total</b>	0	0	0	0

**Total number of shareholders (other than promoters)**

0

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

7

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0

<b>B. Non-Promoter</b>	1	4	1	6	0	0
(i) Non-Independent	1	2	1	3	0	0
(ii) Independent	0	2	0	3	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	4	1	6	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
DEBASHISH MUKHER,	08193978	Director	0	
BIPLAV KUMAR MISHR	08712819	Managing Director	0	
KALYANI KOTA	08754192	Director	0	
VENKATESWARA RAO	05273533	Director	0	
MAHESH MURALIDHAR	09164982	Director	40	
TARUN KUMAR GUPTA	01581768	Additional director	0	
BIKRAM SINGH RAINA	09374026	Additional director	0	
HIMANSHU KATARE	CILPK7979M	Company Secretary	0	
MADHURI AWADE APF	BENPA5937G	CFO	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

10

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
PRADEEP S BHAVE	06757517	Director	19/07/2021	CESSATION
MAHESH MURALIDHA	09164982	Additional director	22/06/2021	APPOINTMENT
MAHESH MURALIDHA	09164982	Director	19/07/2021	CHANGE IN DESIGNATION
TARUN KUMAR GUPTA	01581768	Additional director	29/09/2021	APPOINTMENT
BIKRAM SINGH RAINA	09374026	Additional director	24/12/2021	APPOINTMENT
HIMANSHU KATARE	CILPK7979M	Company Secretary	22/04/2021	APPOINTMENT
ANKITA ANAND SHET	DYXPS3273K	CFO	13/08/2021	CESSATION
PRATHMESH KHANDE	CNLPK1927N	CFO	29/09/2021	APPOINTMENT
PRATHMESH KHANDE	CNLPK1927N	CFO	21/01/2022	CESSATION
MADHURI AWADE APPEL	BENPA5937G	CFO	08/03/2022	APPOINTMENT

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	19/07/2021	7	6	99.99
EXTRAORDINARY GENERAL MEETING	29/09/2021	7	7	100

### B. BOARD MEETINGS

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	23/04/2021	5	5	100
2	19/07/2021	6	6	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
3	29/09/2021	5	5	100
4	12/10/2021	6	5	83.33
5	18/01/2022	7	6	85.71
6	08/03/2022	7	7	100

### C. COMMITTEE MEETINGS

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	23/04/2021	2	2	100
2	AUDIT COMM	19/07/2021	3	3	100
3	AUDIT COMM	29/09/2021	2	2	100
4	AUDIT COMM	12/10/2021	3	2	66.67
5	AUDIT COMM	18/01/2022	4	4	100
6	AUDIT COMM	08/03/2022	4	4	100
7	RISK MANAGI	23/04/2021	2	2	100
8	RISK MANAGI	19/07/2021	3	3	100
9	RISK MANAGI	12/10/2021	3	2	66.67
10	RISK MANAGI	18/01/2022	3	3	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	18/08/2022
								(Y/N/NA)
1	DEBASHISH M	6	6	100	0	0	0	Yes
2	BIPLAV KUMA	6	6	100	3	3	100	Yes

3	KALYANI KOT	6	5	83.33	0	0	0	Yes
4	VENKATESW	6	6	100	15	15	100	Yes
5	MAHESH MUI	5	4	80	13	11	84.62	Yes
6	TARUN KUMA	3	3	100	8	8	100	Yes
7	BIKRAM SING	2	2	100	5	5	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	BIPLAV KUMAR MI	MANAGING DIF	2,361,511	0	0	0	2,361,511
	Total		2,361,511	0	0	0	2,361,511

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ANKITA ANAND SH	CFO	310,352	0	0	0	310,352
2	HIMANSHU KATAR	COMPANY SEC	638,412	0	0	0	638,412
3	PRATHMESH KHAI	CFO	260,595	0	0	0	260,595
4	MADHURI AWADE	CFO	109,464	0	0	0	109,464
	Total		1,318,823	0	0	0	1,318,823

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRADEEP S BHAV	INDEPENDENT	0	0	0	100,000	100,000
2	VENKATESWARA	INDEPENDENT	0	0	0	270,000	270,000
3	TARUN KUMAR GU	INDEPENDENT	0	0	0	140,000	140,000
4	BIKRAM SINGH RA	INDEPENDENT	0	0	0	90,000	90,000
	Total		0	0	0	600,000	600,000

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

JATIN PRABHAKAR PATIL

Whether associate or fellow

Associate  Fellow

Certificate of practice number

7954

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director



DIN of the director

#### To be digitally signed by



Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

#### List of attachments

Details of Shareholder and Shareholding P  
CBSL MGT\_8 - 21-22 F.pdf  
Details of Committee Meeting.pdf  
Certified Order Copy dated 31012022.pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

# केनरा बैंक सेक्युरिटीज लिमिटेड

(केनरा बैंक का एक अनुसंगी)

## Canara Bank Securities Limited

(A Wholly Owned Subsidiary of Canara Bank)

Member : BSE, NSE



### SHAREHOLDING PATTERN & DETAILS OF SHAREHOLDERS AS ON 31.03.2022

Sr No	Name	PAN	No of shares held	Face Value per share(Rs.)	Total Amount paid Up	% of Total
	<b>A</b>					
1	CANARA BANK	AAACC6106G	1,59,99,760	10/-	15,99,97,600	99.9994
	<b>Sub-Total (A)</b>		<b>1,59,99,760</b>		<b>15,99,97,600</b>	<b>99.9994</b>
*	<b>B</b>					
2	RAMINDER PAL SINGH	ACFPS0738J	40	10/-	400	0.0001
3	MAHESH MURALIDHAR PAI	AIUPP2858D	40	10/-	400	0.0001
4	P SANTOSH	AEMPP8613A	40	10/-	400	0.0001
5	U S MAJUMDER	AIPPM1205Q	40	10/-	400	0.0001
6	V RAMACHANDRA	AABPV9054A	40	10/-	400	0.0001
7	LAKHBIR SINGH	ACAPC0252C	40	10/-	400	0.0001
	<b>Sub-Total (B)</b>		<b>240</b>		<b>2400</b>	<b>0.0006</b>
	<b>Total (A+B)</b>		<b>1,60,00,000</b>		<b>16,00,00,000</b>	<b>100.00</b>

\*Group B Shareholders are the Individuals holding Equity Shares Jointly with Canara Bank

For Canara Bank Securities Limited

Biplav Kumar Mishra  
Managing Director  
DIN: 08712819



पंजीकृत कार्यालय : 7 वी मंजिल, मेकर चेम्बर्स III, नरिमान पॉइंट, मुम्बई - 400 021.

Regd. Office : 7<sup>th</sup> Floor, Maker Chambers III, Nariman Point, Mumbai - 400 021. CIN No. : U67120MH1996GOI097783  
SEBI Reg. No. : BSE : INB011280238, INF 01128238, NSE : INB 231280232, INF 231280232, INE 231280232

(जनरल / Gen. 022-2280 2400 / 4360 3800)

Compliance Officer : Sarita Kumari Email : compliance@canmoney.in

(ई-मेल / E-mail) : customercare@canmoney.in • cbsl@canmoney.in • Website : www.canmoney.in





**DETAILS OF COMMITTEE MEETING HELD DURING FY 2021-2022**

S. No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the Meeting	Attendance	
				Numbers of members attended	% of Attendance
1.	Audit Committee	23.04.2021	2	2	100
2.	Audit Committee	19.07.2021	3	3	100
3.	Audit Committee	29.09.2021	2	2	100
4.	Audit Committee	12.10.2021	3	2	66.67
5.	Audit Committee	18.01.2022	4	4	100
6.	Audit Committee	08.03.2022	4	4	100
7.	Risk Management Committee	23.04.2021	2	2	100
8.	Risk Management Committee	19.07.2021	3	3	100
9.	Risk Management Committee	12.10.2021	3	2	66.67
10.	Risk Management Committee	18.01.2022	3	3	100
11.	Corporate Social Responsibility Committee	19.07.2021	3	3	100
12.	Corporate Social Responsibility Committee	18.01.2022	4	4	100
13.	Corporate Social Responsibility Committee	08.03.2022	4	4	100
14.	Nomination and Remuneration Committee	23.04.2021	2	2	100
15.	Nomination and Remuneration Committee	29.09.2021	2	2	100
16.	Nomination and Remuneration Committee	18.01.2022	3	3	100
17.	Nomination and Remuneration Committee	08.03.2022	3	3	100



पंजीकृत कार्यालय : 7 वी मंजिल, मेकर चेम्बर्स III, नरिमन पॉइंट, मुम्बई - 400 021.

Regd. Office : 7<sup>th</sup> Floor, Maker Chambers III, Nariman Point, Mumbai - 400 021. CIN No. : U67120MH1996GOI097783  
SEBI Reg. No. : BSE : INB011280238, INF 01128238, NSE : INB 231280232, INF 231280232, INE 231280232  
(जनरल / Gen. 022-2280 2400 / 4360 3800)

Compliance Officer : Sarita Kumari Email : compliance@canmoney.in  
(ई-मेल / E-mail) : customercare@canmoney.in • cbsl@canmoney.in • Website : www.canmoney.in

**Form No. MGT-8**

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of **CANARA BANK SECURITIES LIMITED (CIN: U67120MH1996GOI097783)** as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on **31<sup>st</sup> March, 2022**, in my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
  1. its status under the Act;
  2. maintenance of registers/records & making entries therein within the time prescribed therefore;
  3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal , Court or other authorities within/beyond the prescribed time;
  4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if, have been signed;
  5. Closure of Register of Members / Security holders, as the case may be;
  6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act; **Not Applicable**
  7. contracts/arrangements with related parties as specified in section 188 of the Act;

8. ~~issue or allotment or transfer or transmission or buy back of equity shares (as shown below) / redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;~~

Transferor (jointly with Canara Bank)	Transferee (jointly with Canara Bank)	No. of Equity Shares Transferred	Date of Transfer
Polipalli Venkateswaralu Janardhana Rao	Mahesh Muralidhar Pai	40	23/02/2022
Bismay Samal	Raminder Pal Singh	40	28/02/2022

#### Reduction in the Share Capital of the Company during the financial year 2021-22

Consequent to the order of the Hon'ble National Company Law Tribunal, Mumbai Bench, during the year [the issued, subscribed and paid up equity share capital of the Company was reduced from Rs.40,00,00,000/- (Rupees Forty Crore only) consisting of 4,00,00,000 (Four Crore only) equity shares of Rs.10/- each to Rs.16,00,00,000/- (Rupees Sixteen Crore only) consisting of 1,60,00,000 (One Crore Sixty Lakhs) equity shares of Rs.10/-each by cancelling and extinguishing in aggregate 60% (Sixty Percent) of the total issued, subscribed and paid up equity share capital of the Company comprising 2,40,00,000 (Two crore forty lakh) equity shares of Rs.10/-each held by the parent Canara Bank.

#### Reconciliation of the number of shares outstanding:

Particulars	As on March 31, 2022	
	No of Shares	Amount
Number of shares at the beginning	4,00,00,000	40,00,00,000
Add: Fresh issue of shares	-	-
Less: Reduction In Numbers of shares as per scheme approved by NCLT	2,40 00,000	24,00,00,000
Number of shares at the end	1,60,00,000	16,00,00,000

9. declaration of interim dividend -During the year for the Financial year 2021-22 the Board of Directors of the Company declared Interim Dividend vide Board Meeting dated 29.09.2021, Interim Dividend of Rs. 2.22 per share (22.22% Interim dividend) on face value of Rs. 10/- each, including Tax deducted at Source (TDS) at flat 10% in following manner, which has been treated as Final Dividend, ~~transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;~~
10. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;

11. constitution/ appointment/ re-appointments/ retirement/ ~~filling up casual vacancies/~~ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them as per the provision of the Companies Act, 2013;
12. appointment/ ~~reappointment/ filling up casual vacancies~~ of auditors (appointed on the instructions of C.A.G) as per the provisions of section 139 of the Act;-During the financial year there was appointment of new auditor M/s Samria & Co., Chartered Accountants, Mumbai as Statutory Auditor for conducting Audit of Financial Year 2021-22 as was instructed by CAG vide letter dated Letter No./CA.V/COY/ CENTRAL GOVERNMENT, GILTST {1}/469 dated 19/08/2021.
13. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act, wherever applicable- During the year there was order passed by NCLT, National Company Law Tribunal Mumbai Bench vide petition or application number 761/2020 for Reduction in Share Capital. Date of passing order 10/01/2022 u/s 66(1) of the companies Act 2013, and rules framed thereunder for which the forms were filed accordingly to ROC.
14. acceptance/ renewal/ repayment of deposits **-Not Applicable;**
15. borrowings from its ~~directors, members, public financial institutions, banks and others~~ and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
16. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;**Not Applicable**
17. alteration of the provisions of the Memorandum and Articles of Association of the Company; **Not Applicable.**

**For Mayekar & Associates**  
**Company Secretaries**  
**Firm U.I.N - P2005MH007400**  
**U.D.I.N - F007282D001017654**

JATIN  
PRABHAKAR  
PATIL

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**Jatin Prabhakar Patil**  
**Partner**  
**F.C.S – 7282**  
**C.O.P – 7954**

**Date: September 22, 2022**  
**Place: Mumbai**

**Annexure A**

To,  
The Members  
**CANARA BANK SECURITIES LIMITED**  
**U67120MH1996GOI097783**

Our Certificate in Form MGT – 8 of even date is to be read along with this letter.

1. Maintenance of secretarial record is the responsibility of the management of the company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the secretarial records. The verification was done on test basis to ensure that correct facts are reflected in secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and Books of Accounts of the company.
4. The compliance of the provisions of Corporate and other applicable laws, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of procedures on test basis.
5. The Certificate in Form MGT – 8 is neither an assurance as to future viability of the company nor of the efficacy or effectiveness with which the management has conducted the affairs of the company.

**For Mayekar & Associates**  
**Company Secretaries**  
**Firm U.I.N - P2005MH007400**  
**U.D.I.N - F007282D001017654**

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PRABHAKAR  
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**Jatin Prabhakar Patil**  
**Partner**  
**F.C.S – 7282**  
**C.O.P – 7954**

**Date: September 22, 2022**  
**Place: Mumbai**